THE COLLABORATIVE PRACTICE MODEL FOR FAMILY RECOVERY, SAFETY AND STABILITY

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Frequently Cited Barriers

- Differences in values and perceptions of primary client
- Timing differences in service systems
- Knowledge gaps among staff working in the systems
- Lack of tools for effective engagement in services
- Intervention and prevention needs of children
- Lack of effective communication
- Data and information gaps
- Categorical and rigid funding streams as well as services and treatment gaps

Suggested Strategies

- Develop principles for working together
- Create on-going dialogues and efficient communication
- Develop cross-training opportunities
- Improve screening, assessment and monitoring practice and protocols
- Develop funding strategies to improve timely treatment access
- Expand prevention services to children
- Develop improved cross-system data collection

Navigating the Pathways Published by SAMHSA/CSAT

- A framework for defining elements of collaboration
  - To define linkage points across systems: where are the most important bridges we need to build?
- Methods to assess effectiveness of collaborative work
  - To assess differing values
  - To assist sites in measuring their implementation
Collaborative Practice and Policy Tools

- Ten Element Framework – A method to organize collaborative activities in specific practice and policy areas
- Collaborative Values Inventory - An anonymous way to explore values and beliefs to facilitate the development of common principles using web-based data collection
- Collaborative Capacity Instrument - An anonymous way to assess the strengths and challenges in each of the areas of system linkages using web-based data collection
- Matrix of Progress in System Linkages - A practice-based approach that specifies characteristics of advance collaboration practice in the elements of system linkages
- Screening and Assessment for Family Engagement, Retention and Recovery – SAFERR -- A guidebook to develop effective communication across systems while engaging families in services

Focus on Your Mission
underlying values and priorities

Ten Element Framework
Elements of System Linkages

Focus on Your Mission
Underlying Values and Priorities

Provide Specialized Services
- Screening and Assessment
- Engagement and Retention
- Services for Children
- Community and Family Support

Connect Systems for Collaboration
- Information Systems
- Training and System Tools
- Budget and Sustainability
- Working with Other Agencies

Achieve Positive Outcomes for Children and Families
- Shared Outcomes and
  Joint Accountability

5. People who abuse alcohol and other drugs have a disease for which they need treatment.
7. People who abuse alcohol and other drugs should be held fully responsible for their own actions.

8. There is no way that a parent who abuses alcohol or other drugs can be an effective parent.

11. The standard we should use for deciding when to remove or reunify children with their parents is whether the parents are fully abstaining from the use of alcohol or other drugs.

**Mission, Underlying Values and Principles of Collaboration**

- Developing a joint mission statement
- Organizations and Statewide Systems that Have Developed an Effective Joint Mission Statement
  - Synthesis of Cross System Values and Principles: A National Perspective
  - Massachusetts
  - Arizona
Mission, Underlying Values and Principles of Collaboration

- Collaborative Values Inventory
- Collaborative Capacity Instrument
- Matrix of Progress
- *Facilitating Cross-System Collaboration: A Primer on Child Welfare, Alcohol and Other Drug and Court Services*
- *Navigating the Pathways (TAP 27)*

Screening and Assessment

*For the purpose of Engagement and Retention*
- Does a family have a substance use or child abuse or neglect issue? If so, how urgent is the issue?
- What is the extent and immediacy of the substance use or child abuse or neglect issues?
- How do the systems need to respond to the substance use or child abuse or neglect issue? Is the family ready to make a transition in the case plan and what does the family need after discharge from treatment and during reunification?

*It’s the team, not the tool*

Provide Specialized Services

Organizations and Statewide Systems that Have Developed Effective Joint Screening and Assessment Procedures
- Statewide Implementation of UNCOPE Universal Screen
- Statewide screening for co-occurring disorders
- New Jersey Child Protection Substance Abuse Initiative
Ensure treatment and recovery success by:

- Understanding, changing, and measuring the cross-system processes for referrals, engagement, and retention in treatment.
Ensure treatment and recovery success by:
- Understanding, changing, and measuring the cross-system processes for referrals, engagement, and retention in treatment.
- Recruiting and training staff who specialize in outreach and motivational approaches and who monitor processes of recovery and aftercare.
- Jointly monitoring family progress through a combination of case management, counseling, testing, and family support programs.

Organizations and Statewide Systems that Have Developed Effective Joint Engagement and Retention Interventions
- Parent Partner Programs (Arizona and Upper Des Moines)
- Specialized Treatment and Recovery Services, Sacramento County, California
- Engaging Moms Program, Family Drug Court, Miami, Florida
Screening, Assessment, Engagement and Retention in Care

- Screening and Assessment for Family Engagement, Retention and Recovery (SAFERR)
- Substance Abuse Specialists in Child Welfare Agencies and Dependency Courts: Considerations for Program Designers and Evaluators
- Drug Testing in Child Welfare: Practice and Policy Considerations
- Drop-off Analysis and Process Improvement
- Contingency Management

Children Living with One or More Substance-Dependent Parent

<table>
<thead>
<tr>
<th>Substance Used (Past Month)</th>
<th>1st Trimester</th>
<th>2nd Trimester</th>
<th>3rd Trimester</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any Illicit Drug</td>
<td>7.2%</td>
<td>5.0%</td>
<td>2.8%</td>
</tr>
<tr>
<td>Alcohol Use</td>
<td>20.7%</td>
<td>7.8%</td>
<td>3.5%</td>
</tr>
<tr>
<td>Binge Alcohol Use</td>
<td>10.3%</td>
<td>1.9%</td>
<td>1.3%</td>
</tr>
<tr>
<td>Cigarettes</td>
<td>23.7%</td>
<td>12.9%</td>
<td>13.7%</td>
</tr>
</tbody>
</table>

Numbers indicate millions

Provide Specialized Services for children

Use During Pregnancy

SAMHSA, Office of Applied Studies, National Survey on Drug Use and Health, 2007-2008 Annual Average
Policy and Practice Framework: Five Points of Intervention

1. Pre-pregnancy awareness of substance use effects
2. Prenatal screening and assessment
3. Identification at Birth
4. Ensure infant’s safety and respond to infant’s needs
5. Identify and respond to the needs of
   - Infant
   - Preschooler
   - Child
   - Adolescent

Initiate enhanced prenatal services
Respond to parents’ needs
Identify and respond to parents’ needs

Services to Children

- Organizations and Statewide Systems that Have Developed Effective Services to Children of Parents with Substance Use Disorders
  - Project FEAT (Oregon)
  - Washington State
  - 4 Ps Plus
  - Linda Ray Intervention Center
  - Celebrating Families

Services to Children

- Substance Exposed Infants: State Responses to the Problem
- Therapeutic Services for Children: A Review of the Responses to the SAPT Block Grant Application
- SAFERR Appendix on screening and assessment tools for children and youth
- NACOA Celebrating Families!
- Strengthening Families Program

Provide Specialized Services
Women’s Treatment
With Family Involvement

Women’s Treatment With Children Present

Children accompany women to treatment.

Women and attending children have treatment plans.

Children accompany women to treatment. Women and attending children receive no therapeutic services. Only women have treatment plans.

Women and children have treatment plans. Some services provided to other family members.

Each family member has a treatment plan and receives individual and family services.

Women’s and Children’s Services

Children accompany women to treatment.

Children participate in child care but receive no therapeutic services. Only women have treatment plans.

Goal: improved outcomes for women and children, better parenting.

Clinical Support

Community Support

Clinical Support

Clinical Treatment

Continuum of Family-Based Services

Clinical Treatment Services Address Medical and Biopsychosocial Issues

Inter-related Components

Clinical Support Services Support Recovery and Maintenance
Recovery management and recovery community services such as self-help, recreational activities, and drop-in supportive centers
- Mutual aid and peer supports
- Family strengthening through neighborhood-based parenting supports
- Child care including temporary respite care
- Faith-based organization support

Organizations and Statewide Systems that Offer Community and Supportive Services to Families Involved in the Child Welfare System
- Kentucky Sobriety Treatment and Recovery Teams (START) Model
- Parent Partner Network
- Family Involvement Team (FIT) for Recovery
- Riverside County United Methodist Women

Family-Centered Treatment for Women with Substance Use Disorders—History, Key Elements and Challenges
- Recovery-Oriented Systems of Care
- Integrating Child Welfare, Substance Abuse, Judicial and Legal Services to Support Families (Texas)
- Gearing Up to Improve Outcomes for Families (New York)
- Alcoholics Anonymous

Develop an inventory of the available services that are in the local area and plot these resources on a map.
- Identify the needs of the families that partners serve.
- Talk to potential collaborators to uncover potential conflicts.
- Develop working agreements or MOUs
- Determine each collaborating agency's criteria for accepting clients.
- Establish an agreement regarding the flow of information and feedback between the agencies
Working with Related Agencies

- OnTrack, Inc.
- Center Point, Inc. FamilyLink Program
- One Hope United
- Safe4Kids

Effective Communication and Information Systems

- Identify the content, methods, roles, and responsibilities in cross-system communication protocols
- Link information system databases to track progress of children and their parents and determine whether families achieved goals
- Confidentiality barriers

Connect Systems for Collaboration

data systems and information sharing

Multiple Systems, Partners and Opportunities

Juvenile Probation
- 518 Juveniles diverted
- 369 Juveniles rated as Moderate/High risk
- 275 reported current drug or alcohol use (74.5%) Jan-Jul 09

Adult Probation
- 2253 felons
- 803 misdemeanants

AOD Treatment
- 5,868 Tx admissions
- 1,969 adults had at least one child
- 3,534 children
- 1,976 children living with someone else because of child protection court order FY 08-09

CPS
- 824 substantiated child abuse/neglect cases
- 442 case plans with parent service objective related to alcohol or drugs 2008

DDC
- 14 women 2008

Perinatal AOD Services
- 54 women identified
- 44 women agreed to receive Services 2008

Public Health Nursing
- 129 open cases involve substance abuse (40% of 320 cases) Aug 09
The Kentucky Sobriety Treatment and Recovery Teams (START) Program
Sacramento County Dependency Drug Court

Introduction to Cross-System Data Sources in Child Welfare, Alcohol and Other Drug Services, and Courts
Webinars
- Connecting the Dots: How States and Counties Have Used Existing Data Systems to Create Cross System Data Linkages
- Propensity Score Matching Strategies for Evaluating Substance Abuse Services for Child Welfare Clients
MOUs on Information Systems and Data Sharing
SAFERR Pathways of Communication Templates
Substance Abuse/Child Welfare Systems Data Profile Worksheet

Effectiveness of what the partners want to sustain
A marketing plan
The total resources that the partners need to sustain the innovation and what can be leveraged from other sources
The political and community resources needed to sustain the innovation, proving that it has support in the community that extends beyond the sponsoring agencies
The innovation’s institutionalization throughout the organization(s)
Budgeting and Program Sustainability

- Denver EFFECT—Entire Family-Focused Effective Comprehensive Treatment
- Project Connect
- NPC Research
- Kentucky Department for Community-Based Services (DCBS)

Training and Staff Development

- Understanding Child Welfare and the Dependency Court: A Guide for Substance Abuse Treatment Professionals (tutorial)
- Understanding Substance Use Disorders, Treatment and Family Recovery: A Guide for Legal Professionals (tutorial)
- Participant workbooks and supervisor handbooks developed by the State of Utah

Connect Systems for Collaboration

- Training and staff development

Funding Family-Centered Treatment for Women With Substance Use Disorders
- White Paper on Funding Comprehensive Services for Families with Substance Use Disorders in Child Welfare and Dependency Courts
- Discussion Guide and Matrix for the Sustainability of Programs for Children and Families
- Cost Rationale and Cost Analysis Discussion Guide
- Funding Source Template
- Marketing Your Program: Creating the Sales Document
I have completed the tutorial training, and utilized the information to help educate new child protective service workers. The information is very useful, understandable, and very specific to the issues and concerns that child welfare workers will encounter and how these should be handled.

- Direct Service Provider

Available at no charge at http://ncsacw.samhsa.gov

Available for free PDF download at http://ncsacw.samhsa.gov

- Helping Child Welfare Professionals Support Families with Substance Use, Mental and Co-Occurring Disorders Toolkit
- A Cross-System Training Plan
- Family Drug Court Learning Academy Series

Visit http://ncsacw.samhsa.gov
### Joint Accountability and Shared Outcomes

- **Substance abuse outcomes for parents involved in the child welfare system**: access to treatment, retention in treatment, positive discharge from treatment, reduction in substance use, change in employment, and change in arrests.
- **Child welfare outcomes for parents with a substance use disorder**: children remaining at home, occurrence of maltreatment, length of stay in foster care, re-entries into foster care, and timeliness of reunification or permanency.
- **Other important outcomes**: child well-being, adult mental health status including reduction in trauma symptoms, school attendance, parenting skills, family functioning, risk or protective factors, and children and parents connected to supportive or ancillary services.

### Arizona Families F.I.R.S.T. (Families in Recovery Succeeding Together)
- New Jersey
- Regional Partnership Grants
- Sacramento Dependency Drug Court

### Regional Partnership Grantees Data Analysis Logic Model

### Regional Partnership Grantee Performance Measurement and Data System Data Dictionary
Developing knowledge and providing technical assistance to federal, state, local agencies and tribes to improve outcomes for families with substance use disorders in the child welfare and family court systems.

NCSACW Goals

- To improve outcomes for families by promoting effective practice, and organizational and system changes at the local, state, and national levels.
- To develop and implement a comprehensive program of information gathering and dissemination.
- To provide technical assistance.
Objectives

- To share knowledge across systems the three systems: substance abuse, child welfare, dependency court
- To promote understanding of cross systems issues and to advance cross-system collaboration
- To increase awareness and adoption of cross systems approaches
- To facilitate communication across systems
- To improve outcomes for children and adults

Collaboration practice and policy tools
- Information and sharing of models
- Expert consultation and research
- Development of issue-specific products
  - Monographs, white papers, fact sheets
- Training resources
  - On-line courses, training materials
- Longer-term strategic planning and development of protocols and practice models

How Do I Access Technical Assistance?

- Visit the NCSACW website for resources and products at http://ncsacw.samhsa.gov
- Email us at ncsacw@cffutures.org

QUESTIONS AND DISCUSSION
Pathways of Communication Template
for Determining Presence and Immediacy of an Issue
Identification Through Community or Family Awareness of Signs, Symptoms and Behaviors

Family and Extended Family Members

Other Community Agencies

Screening

Community Based Family Support Services

Observation or Awareness of Child’s:
- Injury
- Lack of Medical Care
- Sexual Abuse
- Inadequate Education
- Neglect
- Excessive Punishment
- Lack of Food
- Harsh Treatment
- Other Service Needs

Alcohol and Drug Services

Screen

Immediate Need Triage

Diagnosis

Multidimensional Assessment

Treatment Plan and Services

Treatment Monitoring and Transition Planning

Outcome Monitoring

Recovery Management

Results of AOD Screen and Observations of the Following by Parent or Other Individuals in the Home:
- Paraphernalia
- Smell of Alcohol or Drugs
- Slurred Speech
- Lack of Mental Focus
- Off Balance
- Needle Tracks
- Skin Abscesses
- Lip Burns
- Nausea
- Euphoria
- Hallucinations
- Slowed Thinking
- Lethargy
- Hyperactive

Child Welfare Services

Child Abuse Report

In-person Safety Assessment

In-person Response/Risk Assessment

Family Assessment

Outcome Monitoring

Family Well Being

Court Reports Including Results of Screen and Observed Behaviors

Court Orders

Detention/Shelter Hearing

Outcome Monitoring

Dependency Court

Court Reports

Including Results of Screen and Observed Behaviors

In-person Response/Risk Assessment

Family Assessment

Outcome Monitoring

 Dependency Court

Detention/Shelter Hearing
Pathways of Communication Template for Determining Presence and Immediacy of an Issue

Identification Through Community or Family Awareness of Signs, Symptoms and Behaviors

Family and Extended Family Members

Other Community Agencies

Alcohol and Drug Services

Community Based Family Support Services

Screening

Immediate Need Triage

Multidimensional Assessment

Treatment Plan and Services

Treatment Monitoring and Transition Planning

Recovery Management

Outcome Monitoring

Child Welfare Services

Compliance with Court Orders

Progress in Meeting Case Plan Objectives

Required Drug Testing

Visitation Plan

Treatment Plan, Activities, and Objectives

Number and Type of Treatment Sessions Required

Case Plan Development and Services

Case Plan Monitoring, Permanency Determination

Family Well Being

Outcome Monitoring

Outcome Data

Court Reports Including Progress in Meeting Case Plans

Court Orders

Review Hearings

Family Treatment Court Hearings

Case Closures

Dependency Court

Detention/Shelter Hearing

Jurisdiction Disposition Hearings